

SRA Authorisation of Individuals Regulations

Introduction

These regulations set out the SRA's requirements relating to the authorisation of individuals as solicitors in terms of admission, and the issuing of practising certificates and the registration of individuals as an REL or RFL. They set out the effect of SRA authorisation on how an individual may practise, the requirements for and how the SRA will decide applications for authorisation, the conditions that apply during authorisation, and how authorisation may be revoked.

If you are unsure whether you are eligible for authorisation, or need to be authorised, please see our guidance.

They also set out the education and training requirements in place for those seeking to be admitted as solicitors, and to exercise higher rights of audience in the higher courts of England and Wales. Education and training underpins the regulation of solicitors and it seeks to ensure the development of competent and ethical practitioners.

The regulations also govern the qualification process for solicitors and barristers or other UK qualified lawyers seeking admission as a solicitor of England and Wales from another jurisdiction.

This introduction does not form part of the SRA Authorisation of Individuals Regulations.

Part 1: Admission as a solicitor

Eligibility for admission

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1.1 You will be eligible for admission as a [solicitor](#) if the [SRA](#) is satisfied:

- 1.2 you have successfully and satisfactorily passed an assessment which is designed to assess your competence against the **prescribed** competences for solicitors and is conducted by an assessment organisation appointed by the SRA for the purpose;
- 1.3 you hold a **degree** or qualifications or experience which the **SRA** is satisfied are equivalent to a **degree**;
- 1.4 you have completed qualifying work experience which meets the requirements of regulation 2; and
- 1.5 as to your **character and suitability** to be a **solicitor**.

Glossary terms

solicitor

SRA

prescribed

degree

character and suitability

Qualifying work experience

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2.1 Qualifying work experience must:

- 2.2 comprise experience of providing legal services which provides you the opportunity to develop the **prescribed** competences for **solicitors**;
- 2.3 be of a duration of a total of at least two years' full time or equivalent; and
- 2.4 be carried out under an arrangement or employment with no more than four separate firms, educational institutions or other organisations.

2.5 In respect of each organisation under regulation 2.1(c) above, you must arrange for confirmation in the **prescribed** form of the matters set out in regulation 2.3 to be given by a person specified in (a) to (c) below who has taken sufficient steps to satisfy themselves as to those matters:

- 2.6 the organisation's **COLP**;
- 2.7 a **solicitor** working within the organisation; or

- 2.8** if neither (a) or (b) are applicable, a solicitor working outside of the organisation who has direct experience of your work and who has, in order to be so satisfied:
- 2.9** undertaken a review of the work you have done during the relevant period of work experience, which may include review of a training diary or portfolio of work; and
- 2.10** received feedback from the person or persons supervising your work.
- 2.11** The matters in respect of which confirmation by a person specified in regulation 2.2 must be given are:
- 2.12** details of the period of work experience carried out;
- 2.13** that it provided you with the opportunity to develop some or all of the prescribed competences for solicitors; and
- 2.14** that no issues arose during the period of work experience that raise a question as to your character and suitability to be admitted as a solicitor, or if such confirmation cannot be given, then details of any such issues.

Glossary terms

prescribed

solicitor

COLP

character and suitability

Eligibility for admission of qualified lawyers and part-qualified lawyers

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- 3.1** You will be eligible for admission as a solicitor if the SRA is satisfied:
- 3.2** you hold a legal professional qualification that is recognised by the SRA, which confers rights to practise in England and Wales or in an overseas jurisdiction; and
- 3.3** subject to regulation 3.2, you meet the criteria in regulation 1.1(a), (b) and (d).
- 3.4** If you hold a qualification recognised under regulation 3.1(a) and the SRA is satisfied that your qualifications or experience demonstrate that you meet some or all of the prescribed competences, the SRA may decide you are not required to pass the assessment under

regulation 1.1(a) or such parts of it as it considers appropriate.

3.5 If you are:

3.6 a national of an EU member state;

3.7 part-qualified as a legal professional under the rules of an EU Member State other than the UK; and

the SRA is satisfied that your qualifications or experience demonstrate that you meet some or all of the prescribed competences, the SRA may decide you are not required to:

.8

3.9 (pass the assessment under regulation 1.1(a) or such parts of it as the SRA considers appropriate; or

3.10 complete all or part of the period of qualified work experience under regulation 1.1(c).

Glossary terms

SRA

overseas

prescribed

UK

Eligibility requirements

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3A.1 You will be eligible for admission as a solicitor if the SRA is satisfied:

3.2 you have successfully and satisfactorily completed:

3.3 an apprenticeship leading to qualification as a solicitor; or

3.4 the academic stage of training and the vocational stage of training; and

3.5 as to your character and suitability to be a solicitor.

3A.6 The SRA may decide that it is satisfied that you have completed all or any part of the academic stage of training or the vocational stage of training by equivalent means.

Glossary terms

solicitor

SRA

academic stage of training

vocational stage of training

character and suitability

Apprenticeships

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3B.1 To complete an apprenticeship for the purposes of regulation 3A.1(a)(i), you must meet the requirements set out in the assessment plan for the Apprenticeship Standard for a Solicitor (England) approved by the Department for Business, Innovation and Skills, or set out in the Apprenticeship Framework specified in the Level 7 Higher Apprenticeship in Legal Practice (Wales). This must include successfully passing an assessment, which the SRA either conducts or approves as suitable for the purpose of admission as a solicitor.

3B.2 If at any time the SRA is not satisfied that you have successfully and satisfactorily completed an apprenticeship it may:

3.3 refuse to recognise all or any part of that apprenticeship; or

3.4 require you to take certain steps or undertake further training, subject to such conditions as it considers appropriate.

Glossary terms

SRA

solicitor

Academic stage

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3C.1 Your eligibility to commence the academic stage of training will be determined according to the requirements, which may be approved by the SRA, of the relevant approved education provider.

3C.2 You may be entitled to credit for prior certified or experiential learning, which may entitle you to exemption from assessment in some subjects required by the Joint Statement. You must make any application for credit for prior learning to the approved education provider.

Glossary terms

academic stage of training

SRA

approved education provider

Joint Statement

Vocational stage

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3D.1 Your eligibility to commence the Legal Practice Course will be determined according to the requirements, approved by the SRA, of the relevant authorised education provider.

3D.2 Subject to regulation 3A.2, to complete the vocational stage of training you must complete:

3.3 the Legal Practice Course;

3.4 a period of recognised training; and

3.5 the Professional Skills Course.

Glossary terms

Legal Practice Course

SRA

authorised education provider

vocational stage of training

period of recognised training

Recognised training

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- 3E.1** In order to satisfactorily complete your period of recognised training, you must maintain a record of training which:
- 3.2** contains details of the work you have performed;
 - 3.3** records how you have applied and developed the skills, as set out in the Practice Skills Standards;
 - 3.4** records your reflections on, and your training principal's appraisal of, your performance and development against, and your attainment of the skills set out in the Practice Skills Standards; and
 - 3.5** verified by the individual supervising you.
- 3E.6** If at any time the SRA is not satisfied that you have received, or are receiving, training that meets regulation 3E.1 above and regulation 4.1 of the SRA Education, Training and Assessment Provider Regulations, the SRA may:
- 3.7** refuse to recognise all or any part of that training; or
 - 3.8** require you to take certain steps or undertake further training, subject to such conditions as it considers appropriate.

Glossary terms

period of recognised training

record of training

Practice Skills Standards

training principal

SRA

Admission of qualified lawyers

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3F.1 Subject to regulation 4.1, you will be eligible for admission as a solicitor if the SRA is satisfied that you are:

3.2

.3 a barrister; or

3.4 a qualified lawyer in a recognised jurisdiction and you:

A.5 have followed the full route to qualification in the recognised jurisdiction; and

A.6 are entitled to practise as a qualified lawyer of the recognised jurisdiction;

3.7 of the character and suitability to be admitted as a solicitor; and

3.8 have passed all relevant Qualified Lawyers Transfer Scheme assessments in accordance with this regulation.

3F.9 Unless regulation 3F.3 or 3F.4 applies, you must pass all the Qualified Lawyers Transfer Scheme assessments.

3F.10 If you are:

3.11 applying for admission pursuant to European Communities Directive 2005/36/EC or any legislation implementing the Directive in the UK;

3.12 a solicitor or barrister qualified in Northern Ireland;

3.13 a solicitor or advocate qualified in Scotland; or

3.14 a barrister,

you will be required to pass such of the Qualified Lawyers Transfer Scheme assessments as may be specified by the SRA.

3F.15 If you have passed the Legal Practice Course, the SRA may grant you an exemption from the multiple-choice test of the Qualified Lawyers Transfer Scheme assessments.

Glossary terms

solicitor

SRA

barrister

recognised jurisdiction

character and suitability

UK

Legal Practice Course

Establishment Directive

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- 4.1 If you are an [REL](#), you will be eligible for admission as a [solicitor](#) under Part V of the European Communities (Lawyer's Practice) Regulations 2000, or any equivalent legislation implementing the [Establishment Directive](#) in the [UK](#), if:
- 4.2 you satisfy the requirements of those regulations or that legislation; and
- 4.3 the [SRA](#) is satisfied as to your [character and suitability](#) to be a [solicitor](#).

Glossary terms

[REL](#)

[solicitor](#)

[UK](#)

[SRA](#)

[character and suitability](#)

Admission, retention, removal, and restoration to the roll

Application for admission

- [Log in](#) or [register](#) to post comments

- 5.1 You may apply for admission in writing in the [prescribed](#) form. Following an application for admission, the [SRA](#) will issue you with a certificate of satisfaction if it is satisfied that you have met the eligibility requirements for admission as a [solicitor](#) set out in this Part.
- 5.2 At any time before making an application for admission, you may apply to the SRA for an early assessment of your character and suitability to be a solicitor. The [SRA](#) is not bound, in any subsequent application for admission, by any decision it makes as to your [character and suitability](#) to be a [solicitor](#) as a result of an early assessment.
- 5.3 As soon as reasonably practicable after the [SRA](#) has issued a certificate of satisfaction, you will be admitted as a [solicitor](#) and your name entered on the roll, unless the [SRA](#) receives information in writing that it is satisfied demonstrates that you should not be admitted. If so, the [SRA](#)

will give you written notice, providing you with the information it has received, and the opportunity to provide written representations within the period of 28 days from the date of the notice, following which it may decide not to admit you as a **solicitor**.

Glossary terms

prescribed

SRA

solicitor

character and suitability

Retention

- **Log in** or **register** to post comments

5.4 If you are a **solicitor**, the **SRA** will write to you at the last notified version of your postal or email address, to ask you whether you wish your name to remain on the roll, at appropriate intervals as prescribed if you do not hold a practising certificate.

5.5 If, following an enquiry under regulation 5.4, you wish your name to remain on the roll, you shall be required to pay such fee as may be **prescribed** in regulations.

Glossary terms

solicitor

SRA

prescribed

Removal from and restoration to the roll

- **Log in** or **register** to post comments

5.6 The **SRA** may remove your name from the roll if:

9.7 following an enquiry made by the **SRA** under regulation 5.4:

- 9.8** you tell the SRA that you do not wish to remain on the roll;
- 9.9** you do not, within eight weeks from the date of the notice, reply to the SRA and pay the fee specified under regulation 5.5, or

9.10 you apply to have your name removed from the roll.

5.11 Where regulation 5.6(a)(ii) applies, the SRA must not remove your name from the roll until it has given notice to you that it intends to do so.

5.12 The SRA shall remove your name from the roll on your death.

5.13 If your name has been removed from the roll, you may apply to the SRA for your name to be restored to the roll and the SRA may, if it considers it appropriate to do so in reaching a decision on an application made under this regulation, assess your character and suitability to be a solicitor.

5.14 The SRA may decide not to remove your name from, or restore your name to, the roll under this regulation if you are subject to any proceedings, investigation, or consideration of your conduct or practice by the SRA. The SRA must not remove your name from, or restore your name to, the roll if you are the subject of disciplinary proceedings (either in progress or pending) before the senior courts or the Tribunal.

Glossary terms

SRA

character and suitability

solicitor

court

Tribunal

Part 2: Practising certificates for solicitors and registration as a European or foreign lawyer

Eligibility requirements

- Log in or register to post comments

6.1 The SRA shall only grant an application for a practising certificate, or registration in the register of European lawyers

or the register of foreign lawyers if you meet the eligibility requirements in this regulation.

6.2 You will be eligible to apply for a practising certificate if:

6.3 your name is on the roll;

6.4 you have sufficient knowledge of written and spoken English or Welsh; and

6.5 you are not suspended from practice as a solicitor.

6.6 You will be eligible for registration in the register of European lawyers if:

6.7 you are a European lawyer as defined in the European Communities (Lawyer's Practice) Regulations 2000, who is not a barrister of the Republic of Ireland;

6.8 you intend to commence practice under your home professional title on a permanent basis in England and Wales or Northern Ireland, and are legally entitled to do so;

6.9 you have provided the SRA with a certificate which is no more than three months old, confirming your registration with the competent authority in your home Member State under whose home professional title you intend to practise;

6.10 you are not struck off or suspended from the register, or subject to a direction from the Tribunal prohibiting your restoration to the register; and

6.11 the SRA is satisfied as to your character and suitability to be an REL.

6.12 You will be eligible for registration in the register of foreign lawyers if:

6.13 you are a foreign lawyer of a legal profession which the SRA is satisfied is so regulated as to make it appropriate for members of that profession to be managers of recognised bodies;

6.14 you are not struck off or suspended from the register, or subject to a direction from the Tribunal prohibiting your restoration to the register; and

6.15 the SRA is satisfied as to your character and suitability to be an RFL.

Glossary terms

SRA

register of European lawyers

register of foreign lawyers

solicitor

register

Tribunal

character and suitability

Determination of applications

- **Log in** or **register** to post comments

7.1 If the **SRA** considers it to be in the public interest to do so, it must:

7.2 refuse your application for a practising certificate, or your application for registration or renewal of registration, in the **register of European lawyers** or the **register of foreign lawyers**; or

7.3 at any time, whether on grant of such an application or at the end of a period of suspension of a practising certificate or registration, or otherwise, impose such conditions on your certificate or registration as it thinks fit in accordance with regulations 7.2 and 7.3.

7.4 The **SRA** may impose conditions under regulation 7.1(b) if it is satisfied that you:

7.5 are unsuitable to undertake certain activities or engage in certain business or practising arrangements;

7.6 are putting, or are likely to put, at risk the interests of **clients**, third parties or the public;

7.7 will not comply with the **SRA's regulatory arrangements** or require monitoring of compliance with the **SRA's regulatory arrangements**; or

7.8 should take specified steps conducive to the **regulatory objectives**.

7.9 The conditions imposed by the **SRA** under regulation 7.1(b) may:

7.10 specify certain requirements that must be met or steps that must be taken;

7.11 restrict the carrying on of particular activities or holding of particular roles; or

7.12 prohibit the taking of specified steps without its approval.

7.13 The **SRA** may vary or revoke any conditions on your practising certificate or registration.

- 7.14** Before imposing or varying any conditions on your practising certificate or registration, the **SRA** shall give you no less than 28 days' notice of its intention to do so, inviting representations regarding the issues giving rise to the proposed conditions.
- 7.15** The **SRA** may shorten or dispense with the 28 days' notice under regulation 7.5 where conditions are imposed on grant of your practising certificate or registration, or otherwise if it is satisfied that it is in the public interest to do so.
- 7.16** If the **SRA** issues you with a practising certificate or registers you, or renews your registration, in the **register of European lawyers** or the **register of foreign lawyers**, you must pay the **prescribed** fee.

Glossary terms

SRA

register of European lawyers

register of foreign lawyers

client

regulatory arrangements

regulatory objectives

prescribed

Commencement, replacement, and renewal

- **Log in** or **register** to post comments
- 8.1** The commencement date for a practising certificate or for registration in the **register of European lawyers** or **register of foreign lawyers** shall be the date specified by the **SRA** on the practising certificate or the register.
- 8.2** The replacement date for a practising certificate is 31 October following the issue of the certificate.
- 8.3** The renewal date for registration in the **register of European lawyers** or **register of foreign lawyers** is the first 31 October following initial registration, and 31 October in each successive year.

Glossary terms

register of European lawyers

register of foreign lawyers

SRA

Revocation and expiry

- Log in or register to post comments

- 8.4** The **SRA** may revoke a practising certificate, or withdraw registration in the **register of European lawyers** or the **register of foreign lawyers**, at any time, if the **SRA** is satisfied:
- 8.5** that the practising certificate or registration was granted or renewed as a result of error, misleading or inaccurate information, or fraud;
 - 8.6** that the replacement or renewal date has passed and an application has not been made for replacement of the practising certificate or renewal of the registration;
 - 8.7** that a **solicitor**, an **REL** or **RFL** has failed to pay the **prescribed** fee required under regulation 7.7;
 - 8.8** subject to regulation 8.7(c), in the case of an **REL** or **RFL** that the eligibility requirements under regulations 6.3 and 6.4 are no longer met; or
 - 8.9** that an application for a replacement practising certificate or renewal of registration has been refused under regulation 7.1(a).
- 8.5** The **SRA** must not revoke a practising certificate or withdraw registration under regulation 8.4(a), (c) or (d) unless it has first given the person no less than 28 days' notice of its intention to do so, inviting representations regarding the issues giving rise to the proposed revocation or withdrawal of registration.
- 8.6** The **SRA** shall revoke a practising certificate or withdraw registration on the application of the person concerned, unless the applicant is subject to any proceedings, investigation, or consideration of their conduct or practice by the **SRA** or the **Tribunal**.
- 8.7** A practising certificate or registration will expire:
- 8.8** on the death of the **solicitor**, **REL** or **RFL**;
 - 8.9** if a **solicitor**, an **REL** or **RFL** is removed from, or struck off, the roll or **register** or their registration is withdrawn;
 - 8.10** if an **REL** or **RFL** is no longer eligible for registration under 6.3(a) or 6.4(a) respectively;
 - 8.11** in the case of a practising certificate, when the **SRA** issues a replacement certificate;
 - 8.12** in the case of a practising certificate which is suspended, on its replacement date, or if the replacement date has passed, 14 days after the suspension took effect; or

8.13 in the case of a registration which is suspended, on its next renewal date, or if a suspension takes effect after a renewal date but before renewal has been granted in respect of that renewal date, 14 days after the suspension took effect.

Glossary terms

SRA

register of European lawyers

register of foreign lawyers

solicitor

REL

RFL

prescribed

Tribunal

register

What authorisation entitles you to do

Reserved legal activities

- Log in or register to post comments

9.1 Subject to regulations 9.2, 9.3, 9.5 to 9.10 and 10.2(b), if you are a solicitor with a current practising certificate, or an REL, you are entitled to carry on all reserved legal activities except notarial activities.

9.2 If you are an REL you may only exercise a right of audience before a court, conduct litigation or prepare court documents, in conjunction with a solicitor or barrister who is authorised to do so.

9.3 If you are an REL you may only:

9.4 prepare instruments for remuneration creating or transferring an interest in land, and lodge documents relating to a transfer or charge of land, if you have a home professional title listed under Regulation 12 of the European Communities (Lawyer's Practice) Regulations 2000;

9.5 carry on probate activities for remuneration if you have a home professional title listed under Regulation 13 of the European Communities (Lawyer's Practice) Regulations 2000.

9.6 If you are an RFL you may only:

- 9.7** undertake advocacy in chambers in England and Wales under instructions given by a person who is authorised to do so;
- 9.8** under the direction and supervision of a person qualified to supervise:
- 9.9** prepare court documents;
- 9.10** prepare instruments and the lodging of documents relating to the transfer or charge of land;
- 9.11** prepare papers on which to found or oppose a grant of probate, or a grant of letters of administration;
- 9.12** prepare trust deeds disposing of capital if you also are eligible to act as a lawyer of England and Wales;
- 9.13** in relation to immigration work:
- 9.14** undertake advocacy before immigration tribunals;
- 9.15** have conduct of, and prepare documents for, immigration tribunal proceedings.

Glossary terms

solicitor

REL

reserved legal activities

court

barrister

RFL

lawyer of England and Wales

immigration work

Immigration work

- Log in or register to post comments

9.5 If you are a solicitor, an REL or RFL you may undertake immigration work, provided that such work is undertaken through:

- 9.6** an authorised body;
- 9.7** an authorised non-SRA firm that is a qualified person under the Immigration and Asylum Act 1999; or
- 9.8** a body regulated by the Office of the Immigration Services Commissioner.
- 9.9** Subject to regulation 9.7, if you are a solicitor, an REL or RFL you may only undertake immigration work for the public, or a section of the public, that comprises reserved legal activities if such work is undertaken through a body that is entitled to carry on reserved legal activities under the LSA.
- 9.10** Regulations 9.5 and 9.6 do not restrict you from undertaking immigration work if you fall within section 84(6) of the Immigration and Asylum Act 1999.

Glossary terms

solicitor

REL

RFL

immigration work

authorised body

authorised non-SRA firm

reserved legal activities

LSA

Regulated claims management activities

- Log in or register to post comments
- 9.8** If you are a solicitor, an REL or RFL you may carry on regulated claims management activities or activities that would be regulated claims management activities but for the exclusion in article 89N of the Regulated Activities Order, provided that such work is undertaken through:
- 9.9** a body authorised to carry on reserved legal activities; or
- 9.10** if the work does not comprise reserved legal activities
- 9.11** a body which has been granted permission to carry on regulated claims management activities by the FCA under Part 4A of FSMA; or

9.12 as permitted under an exemption made in or under FSMA, to the general prohibition set out in section 19 of FSMA.

Glossary terms

solicitor

REL

RFL

regulated claims management activities

Regulated Activities Order

reserved legal activities

FCA

FSMA

Financial services activities

- Log in or register to post comments

9.9 If you are a solicitor, an REL or RFL you may carry on regulated financial services activities under the SRA Financial Services (Scope) Rules, provided that such activities are undertaken through an authorised body.

Glossary terms

solicitor

REL

RFL

regulated financial services activities

authorised body

Higher rights of audience

- Log in or register to post comments

9.10 If you are a solicitor or an REL you may exercise civil or criminal advocacy in the higher courts if the SRA is satisfied you have successfully and satisfactorily completed the appropriate higher courts advocacy qualification, or you are:

- 9.11 an REL or lawyer to whom Directive 2005/36 applies and you have applied for a qualification to exercise rights of audience in the higher courts, and you have undertaken any further steps as the SRA specifies in order to gain the qualification; or
- 9.12 authorised by another approved regulator to exercise civil or criminal advocacy in the higher courts.

Glossary terms

solicitor

REL

higher courts

SRA

higher courts advocacy qualification

lawyer

approved regulator

Practising on your own

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10.1 Subject to regulation 10.2, if you are a solicitor or an REL you must not act as a sole practitioner unless your practice is authorised as a recognised sole practice.

10.2 If you otherwise would be, you will not be regarded as acting as a sole practitioner and you will not therefore need to be authorised as a recognised sole practice if:

10.3 your practice consists entirely of carrying on activities which are not reserved legal activities; or

10.4 any reserved legal activities you carry on are provided through an authorised body or an authorised non-SRA firm, or in circumstances in which you:

10.5 have practised as a solicitor or an REL for a minimum of three years since admission or registration;

10.6 are self-employed and practise in your own name, and not through a trading name or service company;

10.7 do not employ anyone in connection with the services that you provide;

- 10.8** are engaged directly by the client with your fees payable directly to you;
- 10.9** have a practising address in the UK;
- 10.10** take out and maintain indemnity insurance that provides adequate and appropriate cover in respect of the services that you provide or have provided, whether or not they comprise reserved legal activities, taking into account any alternative arrangements you or your clients may make; and
- 10.11** do not hold client money, save that you may hold money which falls within the category of client money set out in rule 2.1(d) of the SRA Accounts Rules so long as:
- a.12** any money held for disbursements relates to costs or expenses incurred by you on behalf of your client and for which you are liable; and
 - a.13** you have informed your client in advance of where and how the money will be held,

and you choose for your practice not to be authorised as a recognised sole practice.

Glossary terms

solicitor

REL

sole practitioner

recognised sole practice

reserved legal activities

authorised body

authorised non-SRA firm

client

fees

UK

client money

disbursements

Commencement, revocation, and transitional provisions

- Log in or register to post comments

11.1 Regulations 1.1 to 3.3 come into force on a date to be determined in an order made by the SRA

Board.

- 11.2** Subject to regulations 11.3 to 11.7, regulations 3A.1 to 3F.4 shall be revoked on the date determined in accordance with regulation 11.1.
- 11.3** Regulations 3A.1 to 3E.2 shall continue to have effect, in respect of those individuals falling within regulation 11.5, and for the purposes of regulation 11.6, until 31 December in the year of the eleventh anniversary of the date determined in accordance with regulation 11.1.
- 11.4** Regulation 3F shall continue to have effect, in respect of those individuals who have passed the multiple-choice test of the Qualified Lawyers Transfer Scheme assessments at the date determined in accordance with regulation 11.1, until the first anniversary of that date.
- 11.5** Regulation 11.3 applies to any individual who has, at the date determined in accordance with regulation 11.1, started, or who has entered into a contractual agreement or made a non-refundable financial commitment to start, any of the following:
- 11.6** a Qualifying Law Degree;
 - 11.7** a CPE;
 - 11.8** an Exempting Law Degree;
 - 11.9** an Integrated Course;
 - 11.10** the Legal Practice Course; or
 - 11.11** a period of recognised training,
- and has not yet been admitted as a solicitor.
- 11.12** An individual who falls within regulation 11.5 will be eligible to be admitted as a solicitor under either regulations 3A.1 to 3E.2, or under regulations 1.1 to 3.3.
- 11.13** Where an individual has made an application for admission on the basis of eligibility under either regulation 3A or regulation 3F, and it has not been determined at the point those regulations are revoked (and any continuation under regulation 11.3 has come to an end), then the application shall continue to be determined under those regulations as if they were still in force.

Glossary terms

SRA

CPE

Exempting Law Degree

Integrated Course

Legal Practice Course

period of recognised training

solicitor

Supplemental notes

Made by the SRA Board on 30 May 2018.

Made under sections 2, 13, 28 and 31 of the Solicitors Act 1974 and section 89 of, and paragraphs 2 and 3 of Schedule 14 to, the Courts and Legal Services Act 1990.