

Notify us of the financial services your firm provides

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- Get ready [#7503]
- <u>How to tell us [#7787]</u>
- How to pay [#tab_6af93]
- What happens next [#7003]

What is this notification for?

You need to tell us about the financial services your firm provides. Your firm's details will be added to the <u>Financial Conduct Authority (FCA)</u> <u>Exempt Professional Firms Register [http://register.fca.org.uk/]</u>.

You need to read <u>our rules [https://beta.sra.org.uk/solicitors/resources-</u> <u>archived/financial-services/]</u> to decide if the financial services being carried out also need additional FCA regulation.

Notify us about financial activities

You must confirm the financial activities your firm undertakes.

Notify us about a change to your insurance distribution officer

If you carry on insurance distribution activities, you need to tell us who your insurance distribution officer is.

Help with your notification

<u>Contact us [https://beta.sra.org.uk/home/contact-us/]</u> if you have any queries before you submit your notification.

How to tell us

Notify us of Financial Services Activities

Log in to mySRA to notify us. Go to new applications.

[https://beta.sra.org.uk/link/93f017776e78490eac32edeb8f5a63e0.aspx]

There is no fee for this notification.

We will let you know if your notification is incomplete or ineligible.

We aim to process notifications within 30 days.

